

01/11/02  
JC759 U.S. PTO

01-14-01

A

Please type a plus sign (+) inside this box → ⊕

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	<b>Attorney Docket No.</b>	204935-9001
	<b>First Inventor</b>	Satoshi OTSUKA
	<b>Title</b>	Portable Telephone Set
	<b>Express Mail Label No.</b>	EL 874049878 US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
---	--

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **13**]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **3**]
5. Oath or Declaration [Total Pages **3**]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. EXPRESS MAIL mailing label

8. No. EL 874049878 US

9. Date of Deposit 1-11-2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 97 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231. The person mailing this paper/fee is:

Print Michael A. FINNEGAN

Signature: [Signature]

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_  
Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label	<input checked="" type="checkbox"/> Correspondence address below
<b>Name</b> Michael Best & Friedrich LLC 401 North Michigan Avenue	
<b>Address</b>	
<b>City</b> Chicago	<b>State</b> IL
<b>Country</b> USA	<b>Zip Code</b> 60611
<b>Telephone</b> (312) 661-2100	<b>Fax</b> 661-0029

<b>Name (Print/Type)</b> J. Warren Whitesel	<b>Registration No. (Attorney/Agent)</b> 16830
<b>Signature</b> [Signature]	<b>Date</b> 1-11-2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL**  
**for FY 2002**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT 40.00  
(\$1,020.00)**Complete if Known**

Application Number	
Filing Date	Herewith
First Named Inventor	Satoshi OTSUKA
Examiner Name	
Group Art Unit	
Attorney Docket No.	204935-9001

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number  
Deposit Account Name

50-1965

Michael Best &amp; Friedrich LLC

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$740)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
6	-20** = 0	0	0
3	-3** = 0	0	0
Multiple Dependent		280	280

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$280.00)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$40)

**SUBMITTED BY**

Name (Print/Type)

J. Warren Whitesel

Registration No.  
(Attorney/Agent)

16830

**Complete (if applicable)**

Telephone

(312) 661-2100

Signature

Date

1-11-2002

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE  
UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: Satoshi OTSUKA

CASE: 204935-9001

SERIAL NO.:

FILED ON: Herewith

FOR: Portable Telephone Set

) Group Art Unit: Unassigned  
)  
) Examiner: Unassigned  
)  
) CLAIM FOR PRIORITY  
) UNDER 35 USC 119 AND  
) SUBMISSION OF  
) CERTIFIED COPY  
)

ASSISTANT COMMISSIONER OF PATENTS  
WASHINGTON, DC 20231

JCE21 U.S. PRO  
10/044059  
01/11/02

Dear Sirs:

- [X] AUTHORIZATION TO PAY AND PETITION FOR THE ACCEPTANCE OF ANY NECESSARY FEES: If any charges or fees must be paid in connection with the following Communication (including but not limited to the payment of issue fees), they may be paid out of our deposit account No. 50-1965. If this payment also requires a Petition, please construe this authorization to pay as the necessary Petition, which is required to accompany the payment.
- [ ] Applicant herewith petitions the Commissioner of Patents and Trademarks to extend the time for response to the Office Action dated \_\_\_\_\_ for \_\_\_\_\_ month(s) from \_\_\_\_\_ to \_\_\_\_\_. Submitted herewith is check No. \_\_\_\_\_ for \$\_\_\_\_\_ to cover the cost of the extension. If a check is lost, or otherwise does not accompany this Petition, please charge my deposit account number 50-1965 in the appropriate amount to cover the cost of the extension. Any deficiency or overpayment should be charged or credited to the above numbered deposit account.

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on:

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

Print: \_\_\_\_\_

**REGISTRATION**

Please see attached for complete listing

**MICHAEL BEST & FRIEDRICH LLC**  
(Incorporating Laff, Whitesel & Saret, Ltd.)  
401 North Michigan Avenue  
Chicago, Illinois 60611-4212  
(312) 661-2100; Fax: (312) 661-0029

CLAIM FOR PRIORITY UNDER 35 USC 119 AND SUBMISSION OF CERTIFIED COPY

Applicant hereby claims all priority rights granted under 35 U.S.C. 119 and the International Convention for the Protection of Industrial Property, and similar treaties.

A certified copy of each of corresponding Japanese Application No. 2001-005470, filed December 1, 2001, is attached.

Dated: \_\_\_\_\_

1/11/02

Respectfully submitted,



J. Warren Whitesel  
Registration No. 16830  
MICHAEL BEST & FRIEDRICH LLC  
(Incorporating Laff, Whitesel & Saret, Ltd.)  
ATTORNEYS AT LAW  
401 North Michigan Avenue  
Chicago, Illinois 60611  
Telephone 312-661-2100  
Fax 312-661-0029

JWW/dsr